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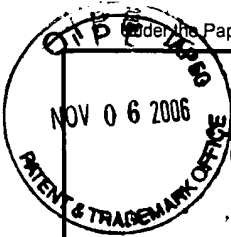
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PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

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TRANSMITTAL FORM

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Total Number of Pages in This Submission

4 pages

Application Number

10/531,411

Filing Date

November 4, 2005

First Named Inventor

Wenyuan SHI

Group Art Unit

1645

Examiner Name

Sarvamangala J N Devi

Attorney Docket Number

UCLA-007

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
 - ☐ Fee Attached
- ☐ Amendment / Reply
 - ☐ After Final
 - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Documents
- ☐ Response to Missing Parts/Incomplete Application
 - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
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- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
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- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

Form PTO/SB/08a
5 References
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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Signing Attorney/Agent
(Reg. No.)

PAULA A. BORDEN, 42,344
BOZICEVIC, FIELD & FRANCIS, LLP

Signature


Date

November 6, 2006

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(e)  Address to: Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Attorney Docket	UCLA-007
	First Named Inventor	SHI, WENYUAN
	Application Number	10/531,411
	Confirmation No.	8124
	Filing Date	November 4, 2005
	Group Art Unit	1645
	Examiner Name	DEVI, SARVAMANGALA J N
	Title:	<i>"MONOCLONAL ANTIBODIES SPECIFIC FOR CARIOGENIC BACTERIA"</i>

Sir:

Applicants submit herewith patents and/or publications which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. While this Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is "prior art" for this invention unless specifically designated as such. A listing of patents and/or publications is shown on enclosed Form PTO-SB/08A and a copy of each patent and/or publication is also enclosed.

Each item of information contained in the Information Disclosure Statement filed herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement (37 C.F.R. 1.97(e)(1)). A copy of the communication is enclosed for the Examiner's convenience.

The Examiner is requested to make the citations listed on the enclosed PTO-SB/08A of record in this application. Applicants would appreciate the Examiner initialing and returning the initialed copy of form PTO-SB/08A, indicating the references have been considered and made of record herein.

No fee is believed due as this statement is being submitted within three months of the mailing date of the enclosed foreign communication. However, if it is determined that fees are required, the Commissioner is hereby authorized to charge any necessary fees associated with this communication or credit any overpayment to Deposit Account No. 50-0815, Order No. UCLA-007.

Respectfully submitted,

BOZICEVIC, FIELD & FRANCIS LLP



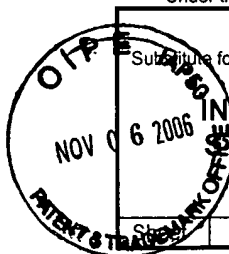
By:

Paula A. Borden, Reg. No. 42,344

Date: Nov. 6, 2006

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Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/531,411
		Filing Date	November 4, 2005
		First Named Inventor	Wenyuan SHI
		Art Unit	1645
		Examiner Name	Sarvamangala J N Devi
Sheet 1 of 2	Attorney Docket Number	UCLA-007	

U.S. PATENT DOCUMENTS						
Examiner Initials'	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
		US-				
		US-				
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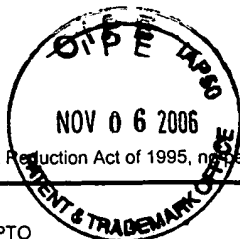
FOREIGN PATENT DOCUMENTS							
Examiner Initials'	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ –Number ⁴ –Kind Code ⁵ (if known)					
		WO 00/73492 A1		12-07-2000	RALLS and SIMONSON		

Examiner Signature	Date Considered
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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
		Application Number	10/531,411		
		Filing Date	November 4, 2005		
		First Named Inventor	Wenyuan SHI		
		Art Unit	1645		
		Examiner Name	Sarvamangala J N Devi		
Sheet	2		2	Attorney Docket Number	UCLA-007

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		BABAHMADY et al., (1998), "Ecological Study of Streptococcus mutans, Streptococcus sobrinus and Lactobacillus spp. at Sub-Sites Approximal Dental Plaque from Children", Caries res. 32:51-58	
		GONZALEZ-CABEZAS et al., (2002), "Distribution of cariogenic bacteria in carious lesions around tooth-colored restorations", American Journal of Dentistry. 15: 248-251	
		GU et al., (2002) "Analyses of Streptococcus mutans in Saliva with Species-Specific Monoclonal Antibodies", Hybridoma and Hybridomics. 21: 225-232	
		GU et al., (2002) "Production and Characterization of Species-Specific Monoclonal Antibodies against Actinomyces naeslundii and Lactobacillus casei", Hybridoma and Hybridomics. 21: 469-478	

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